

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/728,119

Filing Date

Dec 3, 2003

RECEIVED

CENTRAL FAX CENTER

OCT 08 2004

First Named Inventor

Wessells, Philip G.

Art Unit

2854

Examiner Name

EVANISKO, Leslie J.

Total Number of Pages in This Submission

5

Attorney Docket Number

20003-7023

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form  
 Fee Attached  
 Amendment / Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/ Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

After Allowance communication to Technology Center (TC)  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):

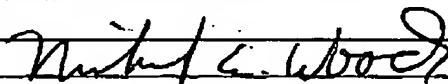
Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name

Patent Law Offices of Michael E. Woods

Signature



Date

October 8, 2004

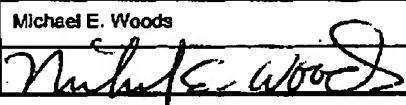
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Michael E. Woods

Signature



Date

October 8, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CENTRAL FAX CENTER

OCT 08 2004

Applicant(s): Wessells

Serial No.: 10/728,119

Filed: 3 December 2003

Title: APPARATUS, METHOD , AND  
COMPUTER PROGRAM PRODUCT  
FOR PAD TRANSFER

Attorney Docket No.: 20003-7023

Group Art Unit:

2854

Examiner:

EVANISKO, Leslie J.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:

Statement under 37 CFR 1.97(e), or

a \$180.00 fee under 37 CFR 1.17(p), or

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:

Statement under 37 CFR 1.97(e), and

a \$180.00 fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation. Pursuant to 35 CFR § 1.98(d), copies of the references may be found in the file wrapper of US Application No. 10/628,749 filed 28 July 2003 entitled "Apparatus and Method for Pad Printing" and having attorney docket number 20003-7003 (this application is relied on for an earlier effective filing date under 35 U.S.C. 120 for the present application. These references include patents,

publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. These references were provided to Applicant by a prospective licensee and some publication information is unavailable to applicants, and in some cases Applicant is unable to determine whether the reference was in fact published at all. However, in an overabundance of caution, all references provided to Applicant that may be material are included in this statement. Thus, inclusion of these references is not to be construed as any admission that the references were published when indicated.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

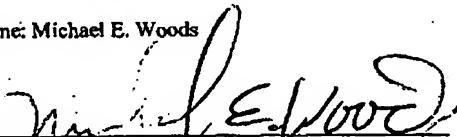
It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this Correspondence is being deposited with the United States Postal service with sufficient postage for first-class mail in an envelope address to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

Date of Deposit: 8 October 2004

Typed Name: Michael E. Woods

Signature: 

  
\_\_\_\_\_  
Michael E. Woods  
Attorney/Agent for Applicant(s)  
Reg. No. 33466

Date: 8 October 2004

Telephone No.: (415) 388-0830

PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
in a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/728,119
		Filing Date	3 December 2003
		First Named Inventor	Wessells
		Art Unit	2854
		Examiner Name	EVANISKO, Leslie J.
		Attorney Docket Number	20003-7023
Sheet	1	of	2

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.*	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code* Number* Kind Code* (# known)				
		WO 03/091032 A1	11-06-2003	Walling		
		WO 03/069453 A1	08-21-2003	Silverbrook		
		EPO 0564297 A2	06-10-1993	Hayman, et. al.		
		JP 2001071567	03-21-2001	Fujita Mitsuo		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

<sup>2</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/088 (08-03)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Substitute for form 1449/PTO

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

2

Application Number	10/728,119	RECEIVED
Filing Date	3 December 2003	CENTRAL FAX CENTER
First Named Inventor	Wessells	OCT 08 2004
Art Unit	2854	
Examiner Name	EVANISKO, Leslie J.	
	Attorney Docket Number	20003-7023

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		G4TECHTV, Review: Sony DPP-MP1 Digital Photo Printer, 15 February 2002 ( <a href="http://www.g4techtv.com/freshgear/features/19392/Review_Sony_DPPMP1_Digital_Photo_Printer_pg1.html">http://www.g4techtv.com/freshgear/features/19392/Review_Sony_DPPMP1_Digital_Photo_Printer_pg1.html</a> )	
		LISA ECCLES, Digital Camera With Built-In Printer Looms On The Horizon, 22 January 2001 (Electronic Design Web - <a href="http://www.electrondesign.com/Articles/Print.cfm?ArticleID=4262">http://www.electrondesign.com/Articles/Print.cfm?ArticleID=4262</a> )	
		Revolutionary New Inkjet Technology Debuts in Canon U.S.A.'s N1000/N2000 Office Color Printers, 23 April 2002 ( <a href="http://www.businesswire.com/webbox/bw.042302/221132587.htm">http://www.businesswire.com/webbox/bw.042302/221132587.htm</a> )	
		Blog Entry: Biz Stone - "Printer Idea" entry, 24 October 2002 ( <a href="http://www.bizstone.com/archive/2002_10_20_archive.htm">http://www.bizstone.com/archive/2002_10_20_archive.htm</a> )	
		PHOENIX MAA, et. al., Redesign and Retrospective: A Redesign of the Palm V, operating system, and related applications, 1 May 2002. (Publication information unavailable).	
		PRINTDREAMS, The RMPT® white paper, 16 October 2002 ( <a href="http://www.printdreams.com/inside/whitepaper.pdf">http://www.printdreams.com/inside/whitepaper.pdf</a> )	

Examiner Signature	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.